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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/023,197
	Filing Date	December 13, 2001
	Inventor	Charles E. Taylor et al.
	Group Art Unit	1711
	Examiner Name	Thao T. Tran
	Attorney Docket Number	SHPR-01041USI

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Drawings	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Fee Transmittal with Deposit Account Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt 4 pages
<input checked="" type="checkbox"/> Check for \$290.00	<input checked="" type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Certificate of Ownership Under 37 CFR §3.73(b)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Itemized Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement, PTO-1449, 40 References 4 pages excluding References	<input checked="" type="checkbox"/> Amendment / Response 28 pages <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	
<input type="checkbox"/> Request for RCE	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Declaration	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Application Data Sheet	Remarks:	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Fliesler Dubb Meyer & Lovejoy LLP Jeffrey R. Kurin, Reg. No. 41,132
Signature	
Date	June 13, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: June 13, 2003			
Typed or printed name	Jeffrey R. Kurin		
Signature		Date	June 13, 2003

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B



**FILE COPY**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1700 Receipt  
FO7/16

**In re Application**

Inventor: Charles E. Taylor et al.  
Serial No.: 10/023,197  
Confirm. No.: 3618  
Filed: December 13, 2001  
Title: Electro-Kinetic Air Transporter-Conditioner with  
Enhanced Cleaning Features

**PATENT APPLICATION**

Art Unit: 1711  
Examiner: Thao T. Tran

**Customer No. 23910**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail documents to be recorded with required cover sheet information to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Jeffrey R. Kurin (Attorney Signature)  
Jeffrey R. Kurin, Reg. No. 41,132  
Signature Date: June 13, 2003

Commissioner for Patents  
Office of Initial Patent Examination's  
Filing Receipt Corrections  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Attached is a copy of the official Filing Receipt for the above application, with the errors marked in red, for which a corrected filing receipt is requested.

There is an error with respect to the following data, which is:

<input checked="" type="checkbox"/>	incorrectly entered	and/or	<input type="checkbox"/>	omitted.
	<u>Error In</u>			<u>Correct Data</u>
1.	<input type="checkbox"/> Applicant's Name		1.	
2.	<input type="checkbox"/> Applicant's Address		2.	
3.	<input type="checkbox"/> Title		3.	
4.	<input type="checkbox"/> Filing Date		4.	
5.	<input type="checkbox"/> Application Number		5.	
6.	<input type="checkbox"/> Foreign/PCT Application No.		6.	
7.	<input checked="" type="checkbox"/> Priority Data		7.	*See below
8.	<input type="checkbox"/> Other		8.	

The cross-referenced concurrently filed applications have inadvertently been added to the priority claim of this application by the USPTO. Also, priority to provisional application 60/306,479 is in error and is also being corrected in the Amendment submitted concurrently herewith. The correct priority data should read as follows:

- \* This appln is a CON of 09/730,499 12/05/2000  
which is a CON of 09/186,471 11/05/1998 PAT 6,176,977

Applicants respectfully requests that a Corrected Filing Receipt be issued, showing the correct priority data.

Respectfully submitted,

Dated: June 13, 2003

Fliesler Dubb Meyer & Lovejoy LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
415/362-3800

By: Jeffrey R. Kurin  
Jeffrey R. Kurin  
Reg. No. 41,132



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/023,197 ✓	12/13/2001 ✓	1711 ✓	2454	SHPR-01041USI -SRM/SDS	12	94 ✓	6 ✓

23910  
FLIESLER DUBB MEYER & LOVEJOY, LLP  
FOUR EMBARCADERO CENTER  
SUITE 400  
SAN FRANCISCO, CA 94111

RECEIVED

MAY 12 2003

FLIESLER DUBB,  
MEYER & LOVEJOY

CONFIRMATION NO. 3618 ✓

CORRECTED FILING RECEIPT



\*OC000000009970723\*

Date Mailed: 05/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Charles E. Taylor, Sebastopol, CA; ✓  
Shek Fai Lau, Foster City, CA; ✓

**Assignment For Published Patent Application**

Sharper Image Corporation; ✓

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/306,479 07/18/2001 ✓  
and is a CON of 09/730,499 12/05/2000 ✓  
which is a CON of 09/186,471 11/05/1998 PAT 6,176,977 ✓

~~This application 10/023,197~~

~~claims benefit of 60/341,518 12/13/2001~~  
~~and claims benefit of 60/341,090 12/13/2001~~  
~~and claims benefit of 60/341,433 12/13/2001~~  
~~and claims benefit of 60/341,592 12/13/2001~~  
~~and claims benefit of 60/341,320 12/13/2001~~  
~~and claims benefit of 60/341,179 12/13/2001~~  
~~and claims benefit of 60/340,702 12/13/2001~~  
~~and claims benefit of 60/341,377 12/13/2001~~  
~~and claims benefit of 60/341,176 12/13/2001~~  
~~and claims benefit of 60/340,288 12/13/2001~~  
~~and claims benefit of 60/340,462 12/13/2001~~

**Foreign Applications**

FILED IN: P. 15, 17  
File: SHPR-01041USI  
Assign Item: \_\_\_\_\_  
Date Due: \_\_\_\_\_  
Graded By: \_\_\_\_\_  
Assigned To: SRM/JNL  
Checked By: JCM  
Verified By: \_\_\_\_\_

RenzK

If Required, Foreign Filing License Granted: 02/25/2002 ✓

Projected Publication Date: Not Applicable /

Non-Publication Request: No ✓

Early Publication Request: No ✓

**Title**

Electro-kinetic air transporter-conditioner device with enhanced cleaning features ✓

**Preliminary Class**

422

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application

Inventor: Charles E. Taylor et al.  
Appln. No.: 10/023,197  
Confirm. No.: 3618  
Filed: December 13, 2001  
Title: Electro-Kinetic Air Transporter-Conditioner with  
Enhanced Cleaning Features

PATENT APPLICATION

Art Unit: 1711  
Examiner: Thao T. Tran

Customer No. 23910

**CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. §3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Sharper Image Corporation, a Delaware corporation, makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. §3.73(b).

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

\_\_\_ a copy of an Assignment attached hereto, the original of which has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or

X the Assignment recorded on October 9, 2002 at reel 013413, frames 0818 - 0820.

The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Sharper Image Corporation

Date: 6/12/03

By: Andrew J. Parker

Print Name: Andrew J. Parker

Title: Senior Vice President



2003

# FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 290.00)**

### Complete if Known

Application Number	10/023,197
Filing Date	December 13, 2001
Inventor	Charles E. Taylor et al.
Group Art Unit	1711
Examiner Name	Thao T. Tran
Attorney Docket Number	SHPR-01041US1

### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325  
Deposit Account Name: Fliesler Dubb Meyer & Lovejoy

2. ☒ Payment Enclosed:  
[X] Check [ ] Other

### FEE CALCULATION (fees effective 10/1/01)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$750	2001/\$375	Utility Filing	<input type="checkbox"/>
1002/\$330	2002/\$165	Design Filing	<input type="checkbox"/>
1004/\$750	2004/\$375	Reissue	<input type="checkbox"/>
1005/\$160	2005/\$80	Provisional Filing	<input type="checkbox"/>
SUBTOTAL (1)			<b>(\$ 0)</b>

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$84	2201/\$42	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$84	2204/\$42	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	<input type="checkbox"/>
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	<input type="checkbox"/>
1251/\$110	2251/\$55	Extension for response within first month <sup>†</sup>	<input type="checkbox"/>
1252/\$410	2252/\$205	Extension for response within second month <sup>†</sup>	<input type="checkbox"/>
1253/\$930	2253/\$465	Extension for response within third month <sup>†</sup>	<input type="checkbox"/>
1254/\$1,450	2254/\$725	Extension for response within fourth month <sup>†</sup>	<input type="checkbox"/>
1255/\$1,970	2255/\$985	Extension for response within fifth month <sup>†</sup>	<input type="checkbox"/>
1401/\$320	2401/\$160	Notice of Appeal	<input type="checkbox"/>
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	<input type="checkbox"/>
1501/\$1,300	2501/\$650	Utility Issue Fee (Or Reissue)	<input type="checkbox"/>
1502/\$470	2502/\$235	Design Issue Fee	<input type="checkbox"/>
1460/\$130	1460/\$130	Petitions to the Commissioner	<input type="checkbox"/>
1814/\$110	2814/\$55	Statutory Disclaimer	<b>110</b>
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	<b>180</b>
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="checkbox"/>
1801/\$750	2801/\$375	Request for Continued Examination (RCE)	<input type="checkbox"/>
Other fee (specify):			<input type="checkbox"/>
Other fee (specify):			<input type="checkbox"/>
SUBTOTAL (3)			<b>(\$ 290)</b>

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For		Extra**				
TOTAL	72	20 or 94	=	0	x		=	0
INDEP	5	3 or 6	=	0	x		=	0
[ ] First presentation of multiple dependent claim								

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$ 0)**

### SUBMITTED BY

Typed or Printed Name	Jeffrey R. Kurin	Complete (if applicable)	
Signature		Reg. Number	41,132
		Date	June 13, 2003